



# Dynamic sensitivity-based finite element model updating for nonlinear structures using time-domain responses

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## ABSTRACT

A dynamic sensitivity-based model updating approach by using the time-domain responses is proposed in this paper. The sensitivity analysis of time-domain response is derived by using the direct differentiation method. The objective function of the nonlinear model updating is constructed by minimizing the discrepancy between the measured and the calculated time-domain responses. The time-domain responses and the corresponding dynamic sensitivities are calculated synchronously. The repeated nonlinear dynamic analysis can be avoided to obtain dynamic sensitivity, which is independent of the perturbation step. Numerical examples of a Duffing-Van der Pol oscillator, a magnetometer boom, and a cantilever plate with multiple nonlinear supports are adopted to verify the method. Crucial issues about the measured noise and the selection of the targeted responses are also considered and discussed. The validation results show that the proposed method is effectively applied to model updating of nonlinear structure using time-domain response with good anti-noise performance, and the scheme for response points selection is reliable for guaranteeing the accuracy.

## 1. Introduction

The finite element (FE) method has been widely applied in engineering, and many methods have been developed to improve the accuracy of the FE model [1–3]. Model updating is considered as the feasible approach for obtaining an updated model that accurately reflects structural responses under the linear hypothesis [4–6]. However, nonlinearities are widespread exist and cannot be neglected in practical structures [7–9]. To obtain a more accurate mathematical model to predict the nonlinear dynamic responses, therefore, the structural dynamic model updating methods for nonlinear structures have attracted attention.

In the conventional linear model updating methods, modal frequencies and mode shapes are considered as the output residuals to update the FE model [10–12]. However, these output residuals are not appropriate for the nonlinear dynamic behavior, since the nonlinear characteristics of the structures are difficult to be reflected from these outputs. Ewins et al. [13–15] proposed a ‘modal test+’ procedure for model validation of nonlinear engineering structures; the residual in the updating process was constructed using the nonlinear frequency response function. Wang et al. [16] presented a model updating strategy for structures with local nonlinearity, and nonlinear model updating was carried out after the underlying linear structure was updated. Similar to the ‘modal test+’ approach, the frequency domain responses were considered as

the compared outputs. Asgarieh et al. [17] and Wang et al. [18,19] proposed a nonlinear model updating method based on the instantaneous modal parameters of the decomposed time-domain responses. Recently, with the application of the nonlinear normal modes (NNM) to structural dynamics, the NNM based nonlinear structural model updating methods [20–23] were presented and applied to the ECL [23] and Round Robin [20,21] benchmark problems. Silva et al. [24] and Asgarieh et al. [25] compared the frequency-domain and time-domain updating methods for nonlinear model updating. These different residuals, such as frequency response, nonlinear force, and time-varying instantaneous parameters, were proven to be successfully used for nonlinear updating. The time-domain responses contained the full effects of the nonlinearity in the structures are considered to construct the output residuals, which are requested to be minimized in the nonlinear model updating process.

The time-domain responses can be computed using different methods [26–29]. The effects of the nonlinear structural parameters on the time-domain responses are evaluated using the sensitivity analysis methods. Depending on whether the sensitivity is used, the model updating methods can be classified into gradient and non-gradient based algorithms. Song et al. [23] applied the interior point optimization algorithm, which does not require the gradients of the objective function to the updating parameters. In recent years, the intelligence-like algorithms [25,30,31] were successfully demonstrated to the non-gradient

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based nonlinear model updating method. Chisari et al. [32] proposed a genetic algorithm-based identification method to a based-isolated concrete bridge using the past dynamic and static test measurements. However, due to the global search, the whole updating procedure was often time-consuming. The sensitivity-based model updating methods were effective to the FE model updating but most of these applications are on the linear structures [33–36]. Ebrahimi et al. [37] proposed a framework for damage identification using the batch Bayesian estimation based nonlinear finite element updating approaches. The nonlinear dynamic behavior of the assembled structures is affected by the connections, and the structural model should be accurately updated using an effective nonlinear FE model updating method. A small number of attempts have been conducted on the sensitivity-based nonlinear FE model updating method. Using the nonlinear time-domain responses, the updating method based on the dynamic sensitivity is investigated in this paper.

The dynamic sensitivity is calculated using three methods: the finite difference method, the adjoint variable method, and the direct differentiation method. The finite difference method is simple to implement by each parameter perturbation; however, it suffers from the inefficiency caused by re-analysis for each parameter [38]. In the adjoint variable method, independent adjoint terms are added to the sensitivity equations of the objective function, and the sensitivities are computed in a manner analogous to the method of Lagrange multipliers [39]. In this approach, the nonlinear dynamic analysis with initial conditions became a terminal-value problem where terminal conditions were prescribed for the adjoint equations. These equations must be integrated backward in time, and the response and adjoint sensitivity cannot be computed simultaneously [40,41]. Meanwhile, the dynamic response and corresponding sensitivity can be synchronously determined using the direct sensitivity analysis method. Conte et al. [42] and Gu et al. [43] proposed the response sensitivity analysis approach to structure with plasticity material under dynamic loading conditions. Scott and Azad [44] applied the direct differentiation method to a force-based element formulation with material and geometric nonlinearity to compute the response sensitivities.

The dynamic sensitivity-based model updating method for structures with nonlinearity using the time-domain responses is proposed. This paper is organized as follows: In Section 2, the detailed formulation and nonlinear updating procedure of the dynamic sensitivity-based method are presented. To validate the performance of the proposed method, a Duffing-Van der Pol oscillator, a magnetometer boom structure with nonlinear hinges, and a cantilever plate with multiple nonlinear supports are adopted in Section 3. The conclusions are summarized in Section 4.

## 2. Methodology

To update the nonlinear FE model, the time-domain responses are selected as the targeted residual in the dynamic sensitivity-based updating process in this paper. The finite difference method can be used for calculating the dynamic sensitivity by repeated nonlinear dynamic analysis for each parameter. The method is time-consuming for large models and perturbation step dependent. The sensitivities of dynamic responses are calculated directly and synchronously using the direct differentiation method in this paper. Two types of nonlinear equations of motion are considered in this paper to derive the formulation of the dynamic sensitivity analysis. Based on the dynamic sensitivity analysis, the proposed nonlinear FE model updating procedure is introduced.

### 2.1. Dynamic sensitivity analysis for nonlinear structure

#### 2.1.1. Formulation for the first-order sensitivity equation

The equation of motion for a nonlinear structure can be represented as

$$\mathbf{M}\ddot{\mathbf{x}}(t, \theta) + \mathbf{C}\dot{\mathbf{x}}(t, \theta) + \mathbf{K}\mathbf{x}(t, \theta) + \mathbf{f}_{nl}(\mathbf{x}(t, \theta), \dot{\mathbf{x}}(t, \theta), \theta) = \mathbf{f}(t) \quad (1)$$

where  $\mathbf{M}$ ,  $\mathbf{C}$ ,  $\mathbf{K} \in \mathbb{R}^{N \times N}$  are the mass, damping, and stiffness matrix, respectively.  $\mathbf{f}(t) \in \mathbb{R}^{N \times 1}$  represents the external excitation load.  $\mathbf{x}(t, \theta)$ ,  $\dot{\mathbf{x}}(t, \theta)$ ,  $\ddot{\mathbf{x}}(t, \theta) \in \mathbb{R}^{N \times 1}$  are the displacement, velocity, and acceleration vector, respectively.  $\mathbf{f}_{nl}(\mathbf{x}(t, \theta), \dot{\mathbf{x}}(t, \theta), \theta) \in \mathbb{R}^{N \times 1}$  is the nonlinear restoring force, in which case the nonlinear behavior of the structure is affected by the updating parameters  $\theta$ . The first-order nonlinear equation of motion can be rewritten from Eq. (1):

$$\begin{cases} \dot{\boldsymbol{\eta}}(t, \theta) = \mathbf{F}(\boldsymbol{\eta}(t, \theta), \theta, t) \\ \boldsymbol{\eta}(0, \theta) = \boldsymbol{\eta}_0 \end{cases} \quad (2)$$

where  $\boldsymbol{\eta}(t, \theta) = [\mathbf{x}(t, \theta)^T \dot{\mathbf{x}}(t, \theta)^T]^T$  is the  $2N$ -dimensional state vector,  $\boldsymbol{\eta}_0$  is the initial conditions, and

$$\mathbf{F}(\boldsymbol{\eta}(t, \theta), \theta, t) = \begin{pmatrix} \dot{\mathbf{x}}(t, \theta) \\ -\mathbf{M}^{-1}(\mathbf{C}\dot{\mathbf{x}}(t, \theta) + \mathbf{K}\mathbf{x}(t, \theta) + \mathbf{f}_{nl}(\mathbf{x}(t, \theta), \dot{\mathbf{x}}(t, \theta), \theta) - \mathbf{f}(t)) \end{pmatrix} \quad (3)$$

$\mathbf{F}(\boldsymbol{\eta}(t, \theta), \theta, t)$  is the vector field. The dynamic response sensitivity is computed by differentiating the Eq. (2) directly with respect to the design parameters  $\theta_i$  ( $i=1, 2, \dots, N_p$ ), and applying the chain rule:

$$\begin{aligned} \frac{\partial \dot{\boldsymbol{\eta}}}{\partial \theta_i} &= \frac{\partial}{\partial \theta_i} \mathbf{F}(\boldsymbol{\eta}(t, \theta), \theta, t) \\ &= \nabla_{\boldsymbol{\eta}} \mathbf{F}(\boldsymbol{\eta}(t, \theta), \theta, t) \cdot \frac{\partial \boldsymbol{\eta}}{\partial \theta_i} + \frac{\partial \mathbf{F}(\boldsymbol{\eta}(t, \theta), \theta, t)}{\partial \theta_i} \end{aligned} \quad (4)$$

Similarly, the sensitivity equation is a first-order differentiation equation:

$$\begin{cases} \frac{d}{dt} \left( \frac{\partial \boldsymbol{\eta}}{\partial \theta_i} \right) = \nabla_{\boldsymbol{\eta}} \mathbf{F}(\boldsymbol{\eta}(t, \theta), \theta, t) \cdot \frac{\partial \boldsymbol{\eta}}{\partial \theta_i} + \frac{\partial \mathbf{F}(\boldsymbol{\eta}(t, \theta), \theta, t)}{\partial \theta_i} \\ \left. \frac{\partial \boldsymbol{\eta}}{\partial \theta_i} \right|_{t=0} = \mathbf{0} \end{cases} \quad (5)$$

Usually, the sensitivities of the initial displacement and velocity are zero, since the initial conditions are given first. Obviously, similar to the direct sensitivity analysis based upon the second-order nonlinear equation of motion, Eq. (2) can be solved in conjunction with Eq. (5). The solutions of the nonlinear dynamic responses and corresponding sensitivities can be determined using the Runge-Kutta method, which is integrated into the 'ode45' function of MATLAB.

#### 2.1.2. Formulation for the second-order sensitivity equation

The dynamic sensitivity for the nonlinear structure is also calculated by differentiating Eq. (1) directly with respect to the design parameters  $\theta_i$  ( $i=1, 2, \dots, N_p$ ), the equation of the dynamic sensitivity is given by

$$\begin{aligned} \mathbf{M} \frac{\partial \ddot{\mathbf{x}}(t, \theta)}{\partial \theta_i} + \mathbf{C} \frac{\partial \dot{\mathbf{x}}(t, \theta)}{\partial \theta_i} + \mathbf{K} \frac{\partial \mathbf{x}(t, \theta)}{\partial \theta_i} \\ &= - \frac{d\mathbf{f}_{nl}(\mathbf{x}(t, \theta), \dot{\mathbf{x}}(t, \theta), \theta)}{d\theta_i} \\ &= - \left( \frac{\partial \mathbf{f}_{nl}(\mathbf{x}(t, \theta), \dot{\mathbf{x}}(t, \theta), \theta)}{\partial \mathbf{x}} \frac{\partial \mathbf{x}(t, \theta)}{\partial \theta_i} \right) \\ &\quad + \frac{\partial \mathbf{f}_{nl}(\mathbf{x}(t, \theta), \dot{\mathbf{x}}(t, \theta), \theta)}{\partial \dot{\mathbf{x}}} \frac{\partial \dot{\mathbf{x}}(t, \theta)}{\partial \theta_i} + \frac{\partial \mathbf{f}_{nl}}{\partial \theta} \\ &= - \left( \mathbf{J}_{\mathbf{x}} \frac{\partial \mathbf{x}(t, \theta)}{\partial \theta_i} + \mathbf{J}_{\dot{\mathbf{x}}} \frac{\partial \dot{\mathbf{x}}(t, \theta)}{\partial \theta_i} + \frac{\partial \mathbf{f}_{nl}}{\partial \theta} \right) \end{aligned} \quad (6)$$

where the last term in the right-hand side of this expression represents an explicit dependence on variable  $\theta_i$ , and the first two terms show the implicit dependence through dynamic responses  $\mathbf{x}$  and  $\dot{\mathbf{x}}$ . The symbols  $\mathbf{J}_{\mathbf{x}}$  and  $\mathbf{J}_{\dot{\mathbf{x}}}$  are the Jacobian matrix of the nonlinear restoring force  $\mathbf{f}_{nl}(\mathbf{x}(t, \theta), \dot{\mathbf{x}}(t, \theta), \theta)$  with respect to the displacement and velocity coordinates:

$$\mathbf{J}_{\mathbf{x}} = \begin{bmatrix} \frac{\partial f_{nl_1}}{\partial x_1} & \dots & \frac{\partial f_{nl_1}}{\partial x_N} \\ \vdots & \ddots & \vdots \\ \frac{\partial f_{nl_N}}{\partial x_1} & \dots & \frac{\partial f_{nl_N}}{\partial x_N} \end{bmatrix}, \quad \mathbf{J}_{\dot{\mathbf{x}}} = \begin{bmatrix} \frac{\partial f_{nl_1}}{\partial \dot{x}_1} & \dots & \frac{\partial f_{nl_1}}{\partial \dot{x}_N} \\ \vdots & \ddots & \vdots \\ \frac{\partial f_{nl_N}}{\partial \dot{x}_1} & \dots & \frac{\partial f_{nl_N}}{\partial \dot{x}_N} \end{bmatrix} \quad (8)$$

Introduce the following symbolic representation:

$$\begin{aligned} s_i &= \frac{\partial \mathbf{x}(t, \theta)}{\partial \theta_i} \\ \dot{s}_i &= \frac{\partial \dot{\mathbf{x}}(t, \theta)}{\partial \theta_i} \\ \ddot{s}_i &= \frac{\partial \ddot{\mathbf{x}}(t, \theta)}{\partial \theta_i} \end{aligned} \quad (9)$$

the compact formula of the second-order ordinary differential equation of sensitivity can be rewritten as

$$\mathbf{M}\ddot{s}_i + (\mathbf{C} + \mathbf{J}_{\dot{\mathbf{x}}})\dot{s}_i + (\mathbf{K} + \mathbf{J}_{\mathbf{x}})s_i + \frac{\partial \mathbf{f}_{nl}}{\partial \theta_i} = \mathbf{0} \quad (10)$$

With  $\mathbf{x}(t, \theta)$  and  $\dot{\mathbf{x}}(t, \theta)$  obtained from Eq. (1), the solution of the dynamic sensitivities can be computed from Eq. (10) using the Newmark- $\beta$  method. The equilibrium equation of the nonlinear dynamic response sensitivity at  $t_{n+1}$  then can be derived as

$$\begin{aligned} \Phi_{n+1} &= \mathbf{M} \left( -\frac{1}{\beta(\Delta t)^2} s_{i,n} - \frac{1}{\beta(\Delta t)} \dot{s}_{i,n} + \left(1 - \frac{1}{2\beta}\right) \ddot{s}_{i,n} \right) \\ &+ (\mathbf{C} + \mathbf{J}_{\dot{\mathbf{x},n+1}}) \left( -\frac{\alpha}{\beta(\Delta t)} s_{i,n} + \left(1 - \frac{\alpha}{\beta}\right) \dot{s}_{i,n} + (\Delta t) \left(1 - \frac{\alpha}{2\beta}\right) \ddot{s}_{i,n} \right) \\ &+ \frac{\partial \mathbf{f}_{nl}(\mathbf{x}(t_{n+1}, \theta), \dot{\mathbf{x}}(t_{n+1}, \theta), \theta)}{\partial \theta_i} \\ &+ \left( \frac{1}{\beta(\Delta t)^2} \mathbf{M} + \frac{\alpha}{\beta(\Delta t)} (\mathbf{C} + \mathbf{J}_{\dot{\mathbf{x},n+1}}) + (\mathbf{K} + \mathbf{J}_{\mathbf{x},n+1}) \right) s_{i,n+1} \\ &= \mathbf{0} \end{aligned} \quad (11)$$

in which  $\Delta t$  is the time-step.  $\alpha(\alpha \geq 1/2)$  and  $\beta(\beta \geq 1/4(\alpha+1/2)^2)$  are the integration constants, which guarantee the unconditional stability of the Newmark- $\beta$  method [29]. The accuracy of the dynamic response solutions and the corresponding dynamic sensitivities depends on the time step [45], and the accuracy is guaranteed when using a sufficiently small time-step in this work.

The third term on the right-hand-side of Eq. (11) represents the partial derivative of the restoring force vector,  $\mathbf{f}_{nl}(\mathbf{x}(t, \theta), \dot{\mathbf{x}}(t, \theta), \theta)$ , with respect to interest parameter  $\theta_i$  under the condition that the responses at time  $t_{n+1}$  are determined. The Jacobian matrix  $\mathbf{J}_{\mathbf{x},n+1}$ , and  $\mathbf{J}_{\dot{\mathbf{x},n+1}}$  also should be determined using the responses at time  $t_{n+1}$ . This equation can be solved for the vector of unknowns  $s_{i,n+1}$ . It should be noted that once the numerical response of the structure at  $t_{n+1}$  is known, the equilibrium equation of the nonlinear dynamic response sensitivity has several same structural matrices as Eq. (1) and is linear. Usually, the initial conditions of the displacement and velocity are given previously, which leads to the sensitivities of the initial conditions are zero. When the nonlinear dynamic response is also determined from the Newmark method, it is applied to compute the dynamic sensitivities directly at the current time. The advantage of the dynamic sensitivity analysis approach is that the response sensitivities can be synchronously computed with the nonlinear dynamic responses, either the first or second-order sensitivity analysis equations are applied.

## 2.2. Nonlinear finite element model updating procedure

The time-domain responses are useful outputs to update the nonlinear FE model. Without loss of generality, the calculated and measured dynamic response for the nonlinear structure are represented as  $\mathbf{R}(t, \theta)$  and  $\mathbf{R}^m$ , respectively. As the first step in nonlinear FE model updating, the compared quantities can be collected in a vector such as

$$\mathbf{z}(t, \theta) = [\mathbf{R}_1(t, \theta)^T, \dots, \mathbf{R}_{N_q}(t, \theta)^T]^T \quad (12)$$

where  $N_q$  is the number of measured degrees-of-freedom (DOFs). The

error is determined using the Taylor series expansion of the calculated outputs truncated after the linear term:

$$\epsilon_z = \mathbf{z}^m - \mathbf{z}(t, \theta) \approx \mathbf{r}_j - \mathbf{S}_j(\theta - \theta_j) \quad (13)$$

in which the vector,  $\mathbf{r}_j = \mathbf{z}^m - \mathbf{z}_j$ , is defined as the difference between the measured and calculated outputs at the  $j$ th iteration. The measured and calculated outputs are denoted by  $\mathbf{z}^m$  and  $\mathbf{z}_j = \mathbf{z}(\theta_j)$ .  $\mathbf{S}_j$  is the sensitivity matrix in the  $j$ th iteration. The error,  $\epsilon_z$ , is assumed to be small for parameters  $\theta$  in the vicinity of  $\theta_j$ , and at each iteration, the Eq. (13) is solved for

$$\Delta \theta_j = \theta - \theta_j \quad (14)$$

The updated parameters are then given by

$$\theta_{j+1} = \theta_j + \Delta \theta_j \quad (15)$$

In practice, the model updating is carried out by minimizing the error:

$$\min_{\theta \in (\mathbb{R})^{p \times 1}; \theta_l \leq \theta \leq \theta_u} \frac{1}{2} \epsilon_z(\theta)^T \mathbf{W} \epsilon_z(\theta) \quad (16)$$

where  $\mathbf{W}$  is the diagonal weighting matrix that accounts for the importance of each individual term in the error vector.  $[\theta_l, \theta_u]$  is the parameter interval for the varying of the updating parameters. The objective function is then rewritten as the following weighted square Euclidean norm of the error vector:

$$\begin{aligned} J_{non}(\theta) &= \frac{1}{2} \sum_{q=1}^{N_q} \sum_{k=1}^{N_t} \| W_{z(t, \theta)} \left( R_q^m(t_k) - R_q(t_k, \theta) \right) \|^2 \\ &:= \frac{1}{2} \| \mathbf{W}_{z(t, \theta)} (\mathbf{z}^m(t) - \mathbf{z}(t, \theta)) \|^2 \end{aligned} \quad (17)$$

in which  $\mathbf{W}_{z(t, \theta)}$  can be obtained by  $\mathbf{W}_{z(t, \theta)}$ ,  $\|\bullet\|$  means the  $L_2$ -norm. In general, the weighting matrix is difficult to be estimated; some reasonable choices are given by a previous study [4]. In this paper, the weighting matrix for nonlinear FE model updating is  $\mathbf{W} = \mathbf{I}$ , which denotes no scales for the time-domain responses.

Since the relationship between the outputs vector  $\mathbf{z}(t, \theta)$  and the updating parameters  $\theta$  is nonlinear, the minimization of Eq. (17) leads to a nonlinear least square problem, which is solved by iterative methods. In the model updating problem, the derivatives  $\partial J_{non} / \partial \Delta \theta = \mathbf{0}$  are required to the minimization of the objective equation Eq. (17), which yields after substitution of Eq. (13) the following equation at  $j$ th iteration,

$$\mathbf{W}_{z(t, \theta)} \mathbf{S}_j \Delta \theta_j = \mathbf{W}_{z(t, \theta)} \mathbf{r}_j \quad (18)$$

In parameter updating by using the time-domain responses, the number of measurements is always made larger than the number of parameters that yields overdetermined equation systems. Thus, the parameter changes can be solved from the following equation:

$$\mathbf{S}_j^T \mathbf{W}_{z(t, \theta)} \mathbf{S}_j \Delta \theta_j = \mathbf{S}_j^T \mathbf{W}_{z(t, \theta)} \mathbf{r}_j \quad (19)$$

The solution  $\Delta \theta_j$  can be determined using the optimization method in MATLAB. The sensitivity matrix of dynamic responses with respect to updating parameters at  $j$ th iteration is given as

$$\mathbf{S}_j = \left[ \frac{\partial \mathbf{z}_j}{\partial \boldsymbol{\theta}} \right]_{\boldsymbol{\theta}=\boldsymbol{\theta}_j} = \begin{bmatrix} \frac{\partial R_1(t_1)}{\partial \theta_1} & \frac{\partial R_1(t_1)}{\partial \theta_2} & \dots & \frac{\partial R_1(t_1)}{\partial \theta_{N_p}} \\ \vdots & \vdots & \ddots & \vdots \\ \frac{\partial R_1(t_{N_i})}{\partial \theta_1} & \frac{\partial R_1(t_{N_i})}{\partial \theta_2} & \dots & \frac{\partial R_1(t_{N_i})}{\partial \theta_{N_p}} \\ \dots & \dots & \dots & \dots \\ \frac{\partial R_2(t_1)}{\partial \theta_1} & \frac{\partial R_2(t_1)}{\partial \theta_2} & \dots & \frac{\partial R_2(t_1)}{\partial \theta_{N_p}} \\ \vdots & \vdots & \ddots & \vdots \\ \frac{\partial R_2(t_{N_i})}{\partial \theta_1} & \frac{\partial R_2(t_{N_i})}{\partial \theta_2} & \dots & \frac{\partial R_2(t_{N_i})}{\partial \theta_{N_p}} \\ \dots & \dots & \dots & \dots \\ \frac{\partial R_{N_q}(t_1)}{\partial \theta_1} & \frac{\partial R_{N_q}(t_1)}{\partial \theta_2} & \dots & \frac{\partial R_{N_q}(t_1)}{\partial \theta_{N_p}} \\ \vdots & \vdots & \ddots & \vdots \\ \frac{\partial R_{N_q}(t_{N_i})}{\partial \theta_1} & \frac{\partial R_{N_q}(t_{N_i})}{\partial \theta_2} & \dots & \frac{\partial R_{N_q}(t_{N_i})}{\partial \theta_{N_p}} \end{bmatrix}_{N_q \cdot N_i \times N_p} \quad (20)$$

where  $\mathbf{S}_j$  is determined using the dynamic sensitivity analysis method that is introduced in Section 2.1.

The dynamic sensitivity-based nonlinear FE model updating method is illustrated in Fig. 1. The process starts with the initial FE modeling considering some nonlinear features, and the measured responses. By using the calculated and measured responses, the response residual that describes the analysis/real discrepancies is constructed. The dynamic sensitivity analysis procedure is conducted to compute the dynamic responses and corresponding derivatives with respect to updating parameters synchronously. The process continues with parameter estimation to produce an incremental parameter for an updated nonlinear FE model. Afterward, the implementation steps of the method are explained in detail and the pseudo-codes for dynamic sensitivity analysis and nonlinear FE model updating are illustrated in Appendix A.

Step 1: Construct the FE model of the nonlinear structure. The commercial FE software, e.g., NASTRAN, is used for exporting the structural matrices of the linear component that ensures the proposed method to be applicable for complex structure. The nonlinear force is added to the FE model using MATLAB, in which the nonlinear dynamic analysis and updating are carried out.

Step 2: Determine whether the measured responses match well with the calculated responses of the nonlinear model. If not, begin the sensitivity-based nonlinear model updating procedure.

Step 3: Select the targeted response points and construct the residuals between the measured and calculated responses.

Step 4: Select the updating parameters and conduct the nonlinear response sensitivity analysis using the proposed formulations of first-

or second-order sensitivity equations using the direct differentiation method.

Step 5: Determine the parameter changes  $\Delta\boldsymbol{\theta}_j$  using the direct sensitivity-based optimization algorithm and estimate whether the response errors or parameter estimation errors satisfy the convergence criterion. If not, continue the procedure by re-analysis of the nonlinear structure using the new parameters.

Step 6: Stop the nonlinear model updating procedure until any of the response errors or parameter estimation errors satisfy the convergence criterion. If not, repeat Steps 3 to 6 until the number of iterations equals to the maximum number. The response error  $e_r$  (e.g.  $10^{-6}$ ) and parameter estimation error  $e_\theta$  (e.g.  $10^{-6}$ ) are defined as

$$e_r = \frac{\|\mathbf{R}^m - \mathbf{R}\|_2}{\|\mathbf{R}^m\|_2} \times 100\% \quad (21)$$

$$e_\theta = \frac{\|\Delta\boldsymbol{\theta}\|_2}{\|\boldsymbol{\theta}\|_2} \times 100\% \quad (22)$$

The dynamic sensitivity analysis plays an important role in the proposed nonlinear FE model updating procedure. The proposed method is challenging to update the structures with non-smooth nonlinearity, as the sensitivity of the dynamic responses with respect to non-smooth parameters is hard to be computed using the direct sensitivity analysis method. Two crucial issues are considered in the proposed nonlinear model updating procedure. The first is that the measured time-domain responses generally have some random noise. This negatively affects the accuracy of the parameter updating. In this study, the polluted measurements are simulated with zero-mean Gaussian white noise [46] added to the calculated acceleration as

$$\hat{\mathbf{R}}^m = \mathbf{R} + N_l \cdot \mathbf{N}_{oise} \cdot var(\mathbf{R}) \quad (23)$$

where  $\hat{\mathbf{R}}^m$  is the vector of polluted acceleration;  $N_l$  is the noise level;  $\mathbf{N}_{oise}$  is a standard normal distribution vector with zero mean and unit standard deviation;  $var(*)$  means the variance of the time history;  $\mathbf{R}$  is the vector of calculated acceleration. The other is the selection of the targeted responses used in nonlinear FE model updating. As is well known, chaotic behavior is exhibited in some nonlinear systems for specific values of parameters. When applying the time-domain responses as the targeted outputs, the long-term behavior of nonlinear systems is often sensitive to the change of parameters, but short-term behavior is not [47]. The selection of time points should be controlled by introducing a selection control variable, e.g.  $\gamma$ , into the objective function.

$$J_{non}(\boldsymbol{\theta}) = \frac{1}{2} \sum_{q=1}^{N_q} \sum_{k=1}^{\gamma N_i} \|W_{z(t,\boldsymbol{\theta})} (R_q(t_k, \boldsymbol{\theta}) - R_q^m(t_k))\|_2^2, \text{ with } \gamma \in (\gamma_{min}, 1] \quad (24)$$

in which the minimal  $\gamma_{min} > N_q/N_t$  ensures the existence of the optimal solution.

### 3. Case studies

The performance of the proposed direct sensitivity-based approach is demonstrated by updating three nonlinear models: Duffing-Van der Pol oscillator, a magnetometer boom, and a cantilever plate with multiple nonlinear supports. For computational cases, the forced external excitation or free vibration are simulated to three examples with given initial conditions. For all case studies, the formulation and location of the nonlinearity are assumed to be known beforehand, and the critical issues are focused on the verification and implementation of the proposed method. Discussions on the measurement noise and selection of the targeted responses are also conducted to the proposed method.

#### 3.1. Duffing-Van der Pol oscillator

Duffing-Van der Pol oscillator, a non-autonomous system, is the most extensively studied example of nonlinear systems because of its wide

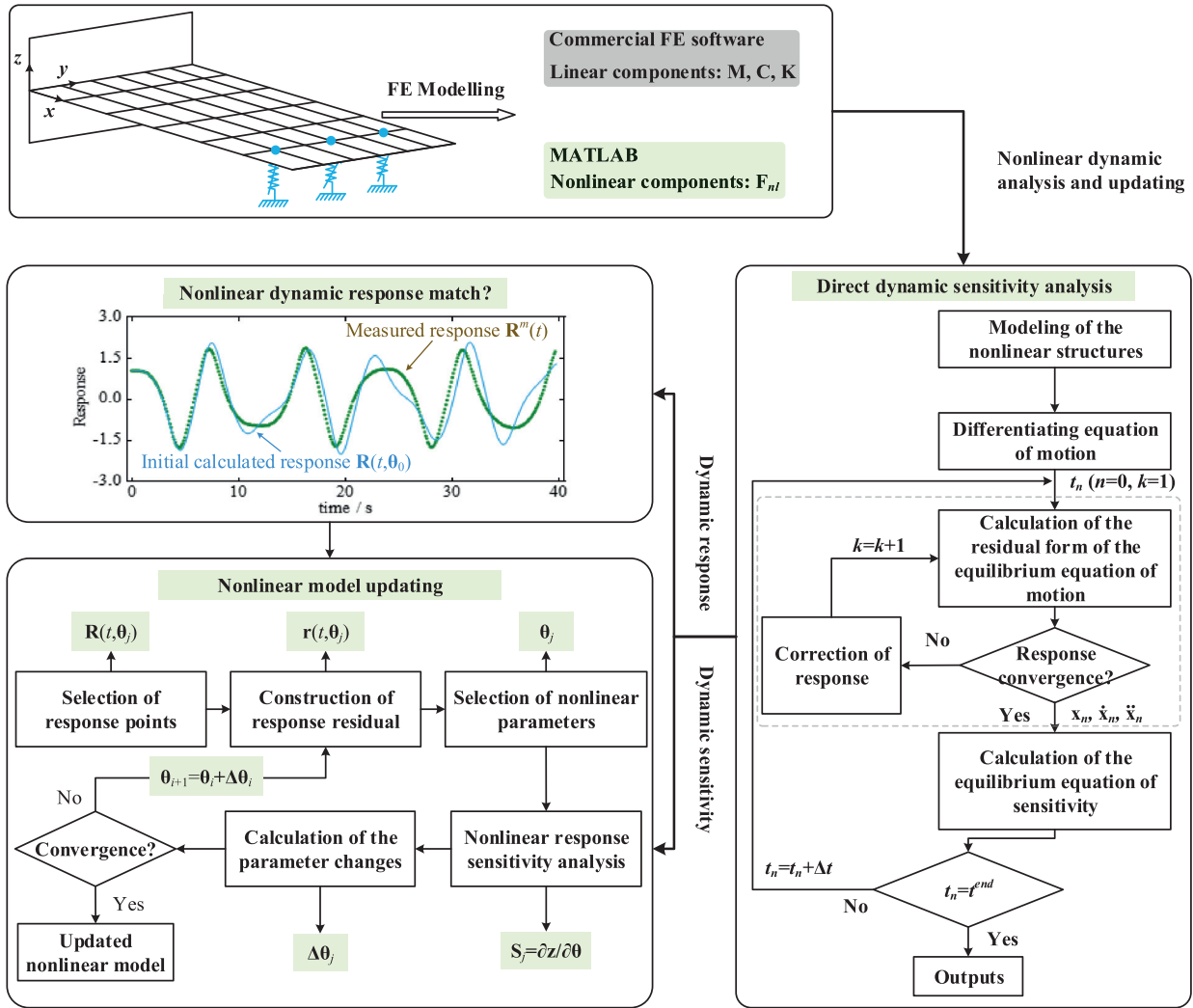


Fig. 1. Flow chart for the direct sensitivity-based model updating using the time-domain responses combined with MATLAB and commercial FE software.

range of dynamic behaviors. Examples of such behavior arise in several mechanical engineering applications [48,49]. The non-dimensional motion of the oscillator subject to harmonic external excitation load is the following

$$\ddot{x}(t) - \mu(1 - x^2(t))\dot{x}(t) + \alpha x(t) + \beta x^3(t) = f \cos(\omega t) \quad (25)$$

in which  $x(t)$  is the displacement of the oscillator,  $\mu(\mu > 0)$  is the damping parameter,  $\alpha$  and  $\beta$  are the system parameters,  $f(f=1)$  and  $\omega(\omega=0.79)$  is the amplitude and frequency of the harmonic external excitation load. Herein, the dynamic response sensitivity is calculated upon the first-order form of the oscillator

$$\begin{cases} \dot{x} = y \\ \dot{y} = \mu(1 - x^2(t))\dot{x}(t) - \alpha x(t) - \beta x^3(t) + f \cos(\omega t) \end{cases} \quad (26)$$

The detailed formulations of the dynamic response and sensitivity analysis are given in Appendix B. Initial conditions are  $x(0)=1$  and  $y(0)=0$ . The time interval is  $[0, 50]$ s, and the corresponding time step is  $\Delta t=0.01$ , which guarantees the accuracy for computing the nonlinear dynamic responses and sensitivities. The simulated dynamic responses and corresponding dynamic sensitivities are solved by the 'ode45' function of MATLAB. The oscillator takes three main physical behavior by adopting different values of system parameters, and the exact parameters are given as follows.

- Single well, if  $\alpha > 0, \beta > 0: \mu=0.1, \alpha=0.5, \beta=0.5;$
- Double well, if  $\alpha < 0, \beta > 0: \mu=0.1, \alpha=-0.5, \beta=0.5;$

Table 1

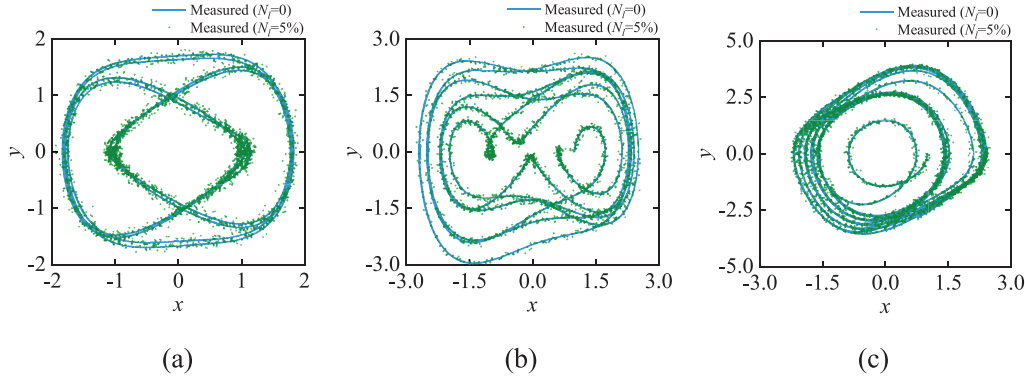
Initial parameters of the Duffing-Van der Pol oscillator for different measurement noise.

Parameters	$\mu$	$\alpha$	$\beta$
Case 1	0.04	0.8	0.2
Case 2	0.06	-0.7	0.3
Case 3	0.4	3.6	-0.16

- Double-hump, if  $\alpha > 0, \beta < 0: \mu=0.5, \alpha=3, \beta=-0.2.$

The following three initial cases, which are listed in Table 1, are used for nonlinear model updating considering measurement noise. Measurement noise with different levels  $N_f=0, 0.5\%, 1\%, 2\%, 5\%$  is enforced to investigate the robustness of the proposed approach. The displacements of three cases are considered as the targeted outputs, and the default control variable for the selection of the targeted responses are  $\gamma=0.2, 0.2,$  and  $0.06$  for case 1, 2, and 3, respectively. The phase diagrams of the measured responses with high noise level ( $N_f=5\%$ ) are shown in Fig. 2.

By adopting the proposed dynamic sensitivity-based model updating method, the relative ratio for the updated parameters is presented in



**Fig. 2.** Phase diagrams of the measured responses with different noise level for three cases: (a) Case 1:  $\mu=0.04$ ,  $\alpha=0.8$ ,  $\beta=0.2$ ,  $N_l=0$  and 5%; (b) Case 2:  $\mu=0.06$ ,  $\alpha=-0.7$ ,  $\beta=0.3$ ,  $N_l=0$  and 5%; (c) Case 3:  $\mu=0.4$ ,  $\alpha=3.6$ ,  $\beta=-0.16$ ,  $N_l=0$  and 5%.

**Table 2**

The relative ratio for the updated parameters for Duffing-Van der Pol oscillator with different measurement noise levels.

Noise level		$N_l=0$	$N_l=0.5\%$	$N_l=1\%$	$N_l=2\%$	$N_l=5\%$
Case 1	$r_\mu$	1.000	1.003	0.984	0.945	0.925
	$r_\alpha$	1.000	0.999	0.999	0.989	0.987
	$r_\beta$	1.000	1.000	1.001	1.010	1.014
Case 2	$r_\mu$	1.000	1.001	1.000	0.997	0.999
	$r_\alpha$	1.000	0.999	0.996	0.996	1.014
	$r_\beta$	1.000	1.000	0.999	0.999	1.001
Case 3	$r_\mu$	1.000	1.000	1.000	1.007	1.019
	$r_\alpha$	1.000	1.000	1.000	1.000	0.999
	$r_\beta$	1.000	0.992	0.997	1.016	0.969

Table 2 and defined as

$$r_i = \frac{\theta_i^{updated}}{\theta_i^{exact}}, \theta_i \in \theta \quad (27)$$

where  $\theta^{exact}$  represents the exact parameter, and  $r$  denotes the relative ratios retained three digits after the decimal point. The convergence criterion of the response error and parameter estimation error are  $e_r=10^{-6}$  and  $e_\theta=10^{-6}$ , respectively. The updated parameters are close to the exact parameters when the relative ratio is close to 1. The relative error also can be calculated by using the relative ratio  $e_{\theta i}=|r_i-1|\times 100\%$ . As shown in Table 2, in case of no noise, the parameters are successfully updated, nevertheless, the relative ratio of these cases reaches 0.992 ( $e_{max}=0.8\%$ ) for noise level  $N_l=0.5\%$  and equals to 0.984 ( $e_{max}=1.6\%$ ) for  $N_l=1\%$ , respectively. Results indicate that the parameters are well updated when the observation with low noise. The maximum error of the updated parameters increases with the increasing of the measurement noise. When the high measurement noise levels are considered, e.g.,  $N_l=2\%$  and  $N_l=5\%$ , the relative ratios are 0.945 ( $e_{max}=5.5\%$ ) and 0.925 ( $e_{max}=7.5\%$ ), respectively. The proposed dynamic sensitivity-based nonlinear FE model updating method has limitation on the case that the observations with high measurement noise.

To investigate the effect of the selection of the targeted responses, the oscillator with measurement noise  $N_l=1\%$  but on different control variable is considered. A small value of  $\gamma$  denotes a small amount of time-domain responses are selected as targeted responses. In this case, a small to large control variable is considered:  $\gamma=0.06, 0.2, 0.5$ , and  $0.8$ , and the numbers of targeted responses are 300, 1000, 2500, and 4000, respectively.

The initial parameters are listed in Table 3 for the discussion on the selection of the targeted responses. The measured and different targeted response selections for three cases are shown in Fig. 3. The difference between the initial and targeted responses increases with the increase of the variable  $\gamma$ . After the nonlinear model updating process, the corresponding relative ratios are presented in Table 4 in detail. It is observed

**Table 3**

Initial parameters to the investigation on the selection of the targeted responses.

Parameters	$\mu$	$\alpha$	$\beta$
Case I	0.06	0.7	0.3
Case II	0.06	-0.7	0.3
Case III	0.3	4.2	-0.12

**Table 4**

The relative ratio of the updated parameters for Duffing-Van der Pol oscillator with different selection control variable  $\gamma$ .

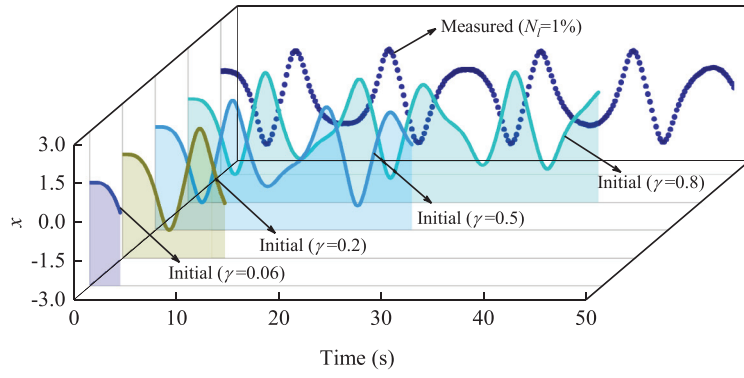
$r$		$\gamma=0.06$	$\gamma=0.2$	$\gamma=0.5$	$\gamma=0.8$
Case I	$r_\mu$	1.035	1.019	0.956	3.600
	$r_\alpha$	0.997	1.001	0.998	1.631
	$r_\beta$	1.003	0.999	1.002	0.708
Case II	$r_\mu$	1.066	1.000	1.001	0.999
	$r_\alpha$	1.001	0.996	0.999	1.001
	$r_\beta$	1.001	0.999	1.000	1.000
Case III	$r_\mu$	0.998	-	-2.400	-2.400
	$r_\alpha$	1.004	-	0.774	1.854
	$r_\beta$	1.055	-	3.600	3.600

that the parameters of case II can be accurately updated for these four control variables based on the proposed method. The relative ratios for cases I and III are far away from 1 with an increasing of the variable  $\gamma$ , and the parameters become unable to be updated when  $\gamma$  increases to 0.8 (case I) and 0.2~0.8 (case III). This is explainable since the discrepancy of the targeted responses, as shown in Fig. 3, increases with the increase of  $\gamma$ . Results show that, when the relative error of the initial responses is significant, the accuracy of the updated parameters can be ensured when using a small  $\gamma > N_q/N_l$ .

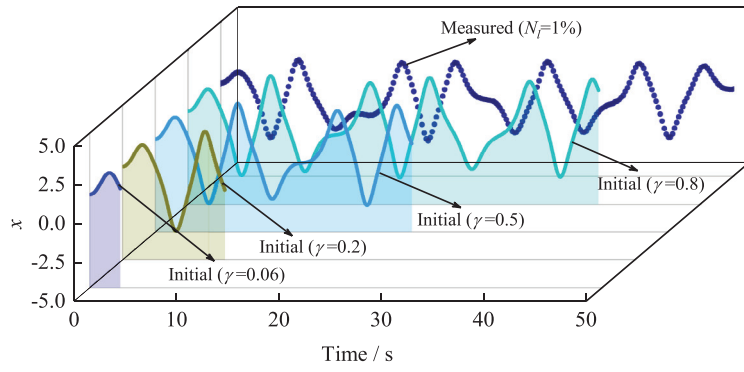
### 3.2. Magnetometer boom

Considering a general nonlinear hinge in the space structure, the proposed method is implemented for updating the local parameters of the nonlinear hinge elements. As shown in Fig. 4, an example of a space structure magnetometer, which is used to measure the strength and the direction of the local magnetic field in satellites, such as LISA [50], SMILE [51]. The articulated magnetometer generally consists of booms, hinges, and sensors. The folded and deployed configuration of the magnetometer is shown in Fig. 4 (a) and (b), respectively.

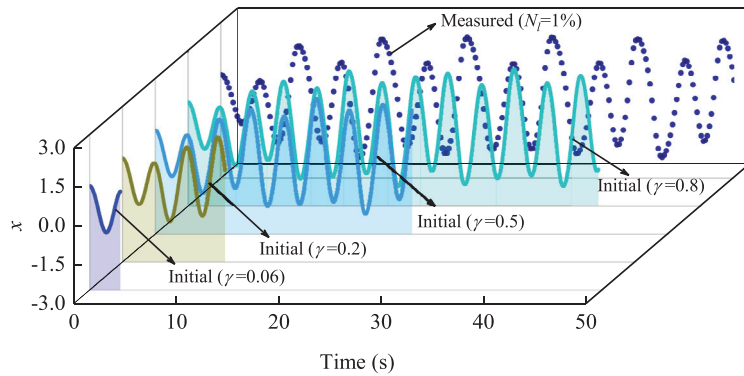
As shown in Fig. 4(c), the three hinges are modeled using the nonlinear spring elements, and the booms are modeled using the simple beam elements. The geometrical and material parameters of the fluxgate magnetometer are listed in Table 5. It should be noted that



(a)

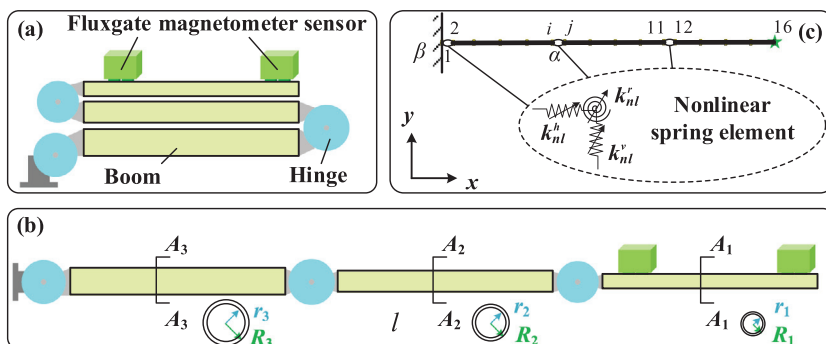


(b)



(c)

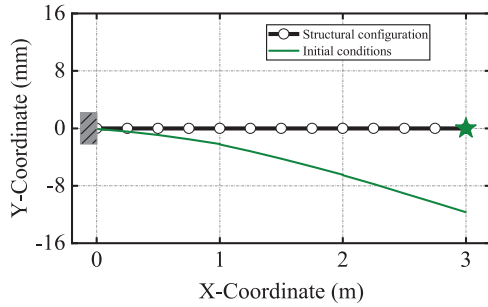
**Fig. 3.** Measured responses and the selected targeted responses with different control variables: (a) Case I:  $\mu=0.06$ ,  $\alpha=0.7$ ,  $\beta=0.3$ ; (b) Case II:  $\mu=0.06$ ,  $\alpha=-0.7$ ,  $\beta=0.3$ ; (c) Case III:  $\mu=0.3$ ,  $\alpha=4.2$ ,  $\beta=-0.12$ .



**Fig. 4.** An example of a fluxgate magnetometer in (a) folded and (b) deployed configuration, and (c) the finite element model with nonlinear spring elements.

**Table 5**  
Parameters of the fluxgate magnetometer.

Parameters	Value	Unit	Parameters	Value	Unit
The length of the boom 1, 2, and 3 ( $l$ )	1	m	Density	1650	kg/m <sup>3</sup>
The radius of the boom 1 ( $R_1, r_1$ )	(20, 17.5)	mm	Elastic modulus	288	GPa
The radius of the boom 2 ( $R_2, r_2$ )	(25, 22.5)	mm	Poisson's ratio	0.266	
The radius of the boom 3 ( $R_3, r_3$ )	(30, 27.5)	mm	Horizontal stiffness coefficients ( $k_{lin}^h, k_{nl}^h$ )	( $6 \times 10^6, 8 \times 10^8$ )	(N/m, N/m <sup>3</sup> )
Vertical stiffness coefficients ( $k_{lin}^v, k_{nl}^v$ )	( $8 \times 10^5, 8 \times 10^8$ )	(N/m, N/m <sup>3</sup> )	Rotational stiffness coefficients ( $k_{lin}^r, k_{nl}^r$ )	( $9 \times 10^4, 9 \times 10^7$ )	(N-m/rad, N-m/rad <sup>3</sup> )



**Fig. 5.** Initial displacement conditions for the periodic vibration ( $T=0.0747s$ ) of the magnetometer boom: black curve means the structural configuration, green curve means the initial conditions, the white dots are nodes of the FE model, and the green star is the selected node 16.

the basic beam-spring finite element model can also be applied to a similar and complex space truss structure [52]. To consider the nonlinearity in the hinges, the cubic springs are generally used for the equivalent modeling in the beam-spring FE model [9,53]. The stiffness coefficients of the hinge shown in Table 5 are pre-given for the numerical analysis. The crucial issues on model updating of the local nonlinear hinges are then discussed by the following descriptions.

### 3.2.1. Modelling of boom with nonlinear hinges

The beam-spring FE model consists of 16 nodes, 12 Euler-Bernoulli beam elements, and 3 nonlinear spring elements. The total DOF of the FE model is 45. The relationship between displacement and nonlinear restoring force satisfies the following equation,

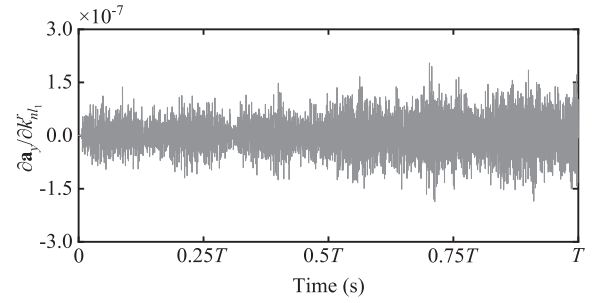
$$f = f_{lin} + f_{nl} = kx + k_{nl}x^3 \quad (28)$$

in which the  $k_{lin}$  and  $k_{nl}$  denote the linear and cubic nonlinear stiffness coefficients, respectively. The linear one can be directly added into the structural stiffness matrix, and the nonlinear one is introduced as follows.

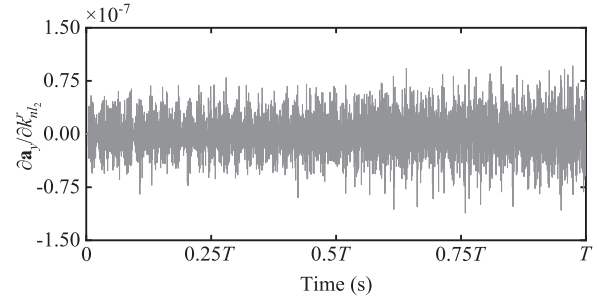
The general configuration of the nonlinear spring elements which are applied to connect several separate components is shown in Fig. 4(c). Two types, internal type (element  $\alpha$ ) and grounded type (element  $\beta$ ), of the nonlinear spring element, is considered in this paper. For every element, three physical DOF, i.e., the horizontal, vertical, and rotational DOF, are considered at every node like node  $i$  and  $j$ . The nonlinear restoring force vector  $\mathbf{f}_{nl}(\mathbf{x}(\theta, t), \theta)$  is written as:

$$\mathbf{f}_{nl}(\mathbf{x}(\theta, t), \theta) = \sum \mathbf{f}_{nl}^\alpha \quad (29)$$

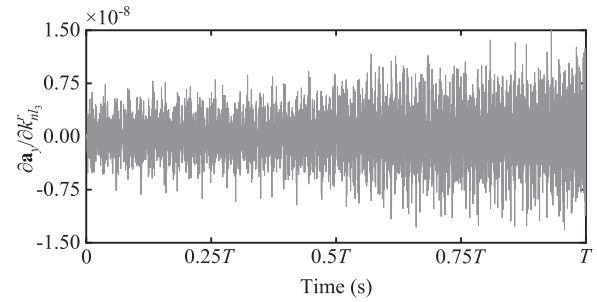
where  $\mathbf{f}_{nl}^\alpha$  is the nonlinear restoring force of the  $\alpha^{th}$  nonlinear element, which is obtained based on the relative or absolute displacements [16]. In this example, the nonlinear restoring force of the beam-like FE model



(a)



(b)



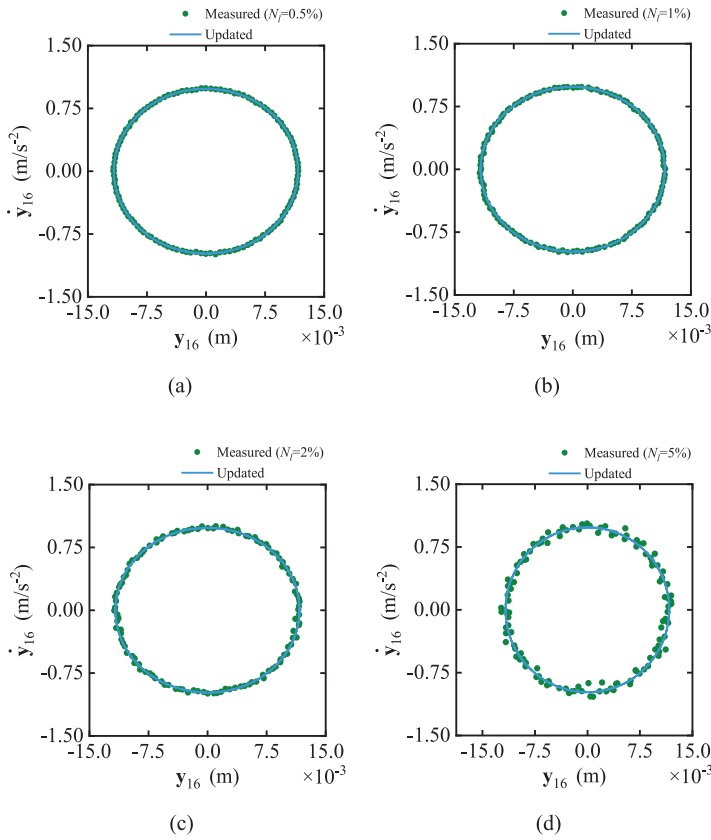
(c)

**Fig. 6.** Dynamic sensitivity of y-acceleration at point 16 with respect to updating parameters: (a)  $\partial a_y / \partial k_{nl}^h$ , (b)  $\partial a_y / \partial k_{nl}^r$ , (c)  $\partial a_y / \partial k_{nl}^s$ .

is given by,

$$\mathbf{f}_{nl} = \left\{ \begin{array}{c} k_{nl}^h x_3^3 \\ k_{nl}^v y_1^3 \\ k_{nl}^r \theta_1^3 \\ \vdots \\ k_{nl}^h (x_{13} - x_{16})^3 \\ k_{nl}^v (y_{14} - y_{17})^3 \\ k_{nl}^r (\theta_{15} - \theta_{18})^3 \\ k_{nl}^h (x_{16} - x_{13})^3 \\ k_{nl}^v (y_{17} - y_{14})^3 \\ k_{nl}^r (\theta_{18} - \theta_{15})^3 \\ \vdots \end{array} \right\} \quad (30)$$





**Fig. 7.** Measured and updated phase diagrams for different noise levels: (a)  $N_i=0.5\%$ ; (b)  $N_i=1\%$ ; (c)  $N_i=2\%$ ; (d)  $N_i=5\%$ .

**Table 6**  
The relative ratio of the updated rotational stiffness coefficients of the nonlinear hinges for different initial parameters.

Initial parameters ( $N \cdot m / rad^3$ )	$r_1$	$r_2$	$r_3$
Set 1: $(5.85, 4.5, 13.95) \times 10^7$	1.000	1.000	0.996
Set 2: $(10.8, 11.3, 6.59) \times 10^7$	1.000	1.000	0.997
Set 3: $(4, 16, 5) \times 10^7$	1.000	1.000	1.003
Set 4: $(2, 16, 4) \times 10^7$	0.998	1.005	1.111

The rotational stiffness coefficients of the cubic terms for the three hinges are selected as the updating parameters in this case. The initial velocities are zero, and the initial displacements are shown in Fig. 5. The time interval is  $[0, T]$ , and the corresponding time step is  $\Delta t = T/N_T$ , where  $T$  is the minimal period of the NNM motion and determined from the shooting and pseudo-arclength continuation algorithm [54],  $N_T$  is the number of the time interval. A small time-step is used for ensuring the accuracy of the integration procedure [27], e.g.,  $N_T=3000$ . To evaluate how the initial parameters will affect the updated results, different initial parameters ( $k_{nl_1}^r, k_{nl_2}^r, k_{nl_3}^r$ ) for three nonlinear hinges are used in the nonlinear FE model updating.

The simulated dynamic responses and corresponding sensitivities are solved by the proposed method in Section 2.1. The  $y$ -acceleration without noise at point 16 is selected as the targeted outputs, and the selection control variable is set to  $\gamma=2/3$  for the updating process. The sensitivities of acceleration at point 16 with respect to updating parameters are shown in Fig. 6. The convergency criterion is the same as shown in Section 3.1. By adopting the proposed nonlinear FE model updating method, the relative ratios for the updated parameters considering different initial parameters, i.e.,  $r = \theta^{\text{updated}} / \theta^{\text{exact}}$ , are presented in Table 6. The relative errors for set 4 are 0.2%, 0.5%, and 11.1% for these three parameters ( $k_{nl_1}^r, k_{nl_2}^r, k_{nl_3}^r$ ), respectively. The relative error is less than 0.5% for other sets. It is reasonable since the dynamic sensitivity to the

**Table 7**  
The relative ratio of the updated rotational stiffness coefficients of the nonlinear hinges for different noise levels.

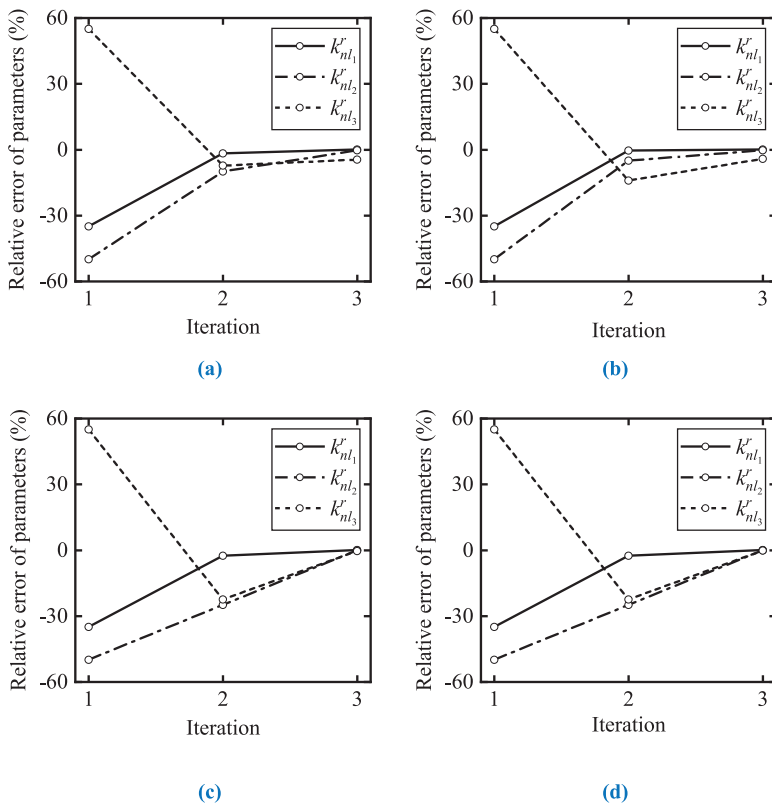
Noise level (%)	$r_1$	$r_2$	$r_3$
$N_i=0$	1.000	1.000	0.996
$N_i=0.5$	0.995	1.013	1.015
$N_i=1$	1.008	1.007	0.985
$N_i=2$	0.993	0.962	1.098
$N_i=5$	0.942	1.005	0.814

local parameters is small than that to global parameters (e.g., density and modulus), and the dynamic sensitivity to  $k_{nl_3}^r$  shown in Fig. 6(c) is small than that to other updating parameters. The results indicate that the local hinge parameters can be well updated using the proposed method for different initial parameters.

### 3.2.2. Effect of the measurement noise

The initial parameters of Set 1 in Table 6 are used in this subcase. The measurement random noises with level  $N_i=0.5\%$ ,  $1\%$ ,  $2\%$ , and  $5\%$  are considered. The  $y$ -acceleration with different noise levels at point 16 is selected as the targeted outputs, and the selection control variable is set to  $\gamma=2/3$  for the updating process. The other parameters for the proposed nonlinear FE model updating process are the same as the above.

The updated and measured phase diagrams for the four sets are shown in Fig. 7. The relative ratios of the updated hinge parameters are represented in Table 7. As with the same performance in Section 3.1, the local parameters can be successfully updated under low noise level, herein, the maximum relative error equals to 1.5% ( $r_3=0.985$ ) for noise  $N_i=1\%$ . Under high noise levels  $N_i=2\%$  and  $N_i=5\%$ , the relative ratios are  $r_3=1.098$  ( $e_3=9.8\%$ ) and  $r_3=0.814$  ( $e_3=18.6\%$ ), respectively. It is explainable since the low sensitivity of the dynamic responses with re-



**Fig. 8.** History of the relative error of parameters in the updating procedure for different selection control variables: (a)  $\gamma=1/6$  (b)  $\gamma=1/3$ ; (c)  $\gamma=2/3$ ; (d)  $\gamma=1$ .

**Table 8**

The relative ratio of the updated rotational stiffness coefficients of the nonlinear hinges for different selection control variables.

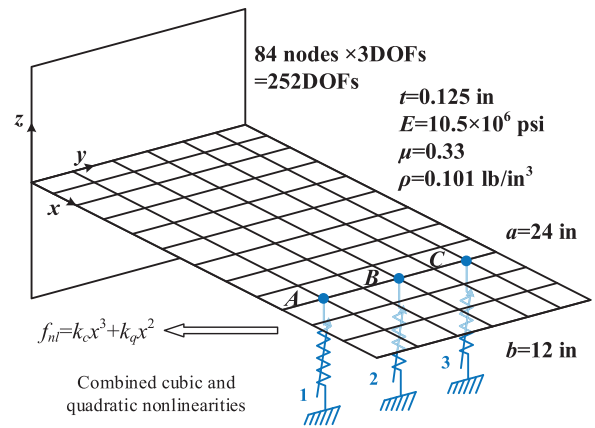
$\gamma$	$r_1$	$r_2$	$r_3$
1/6	1.001	0.997	0.954
1/3	1.001	0.998	0.958
2/3	1.000	1.000	0.996
1	1.000	1.000	0.999

spect to the rotational stiffness coefficients of the local nonlinear hinges, which is shown in Fig. 6. The results indicate that the proposed approach works well under different measurement noise levels.

3.2.3. Effect of the selection of the targeted responses

The issue of the selection of the targeted responses used in nonlinear FE model updating is investigated in this subcase. The initial parameters of Set 1 in Table 6 are used in this subcase. The noise level is set to zero, and the selection control variable is  $\gamma=1/6, 1/3, 2/3,$  and 1. The time interval is also  $[0, T]$ , and other parameters for the nonlinear FE model updating process are the same as the Section 3.2.1.

As shown in Fig. 1, the procedure stops until any of the response errors or parameter estimation errors satisfy the convergence criteria, which are given in Section 2.2. After four steps of iteration, the stiffness coefficients converge for all sets of control variables. The history of the relative errors of the updating parameters is shown in Fig. 8. Updated parameters compared with the exact values are presented in Table 8. In the magnetometer boom case, the relative errors of initial responses for different selection control variables calculated using Eq (21) are 0.89%, 0.97%, 1.16%, and 1.41%, respectively. These initial response errors are smaller than that of the first case, and all the parameters can be accurately updated using the proposed method. The maximum error of updated parameters decreases with the increases of the control variables,

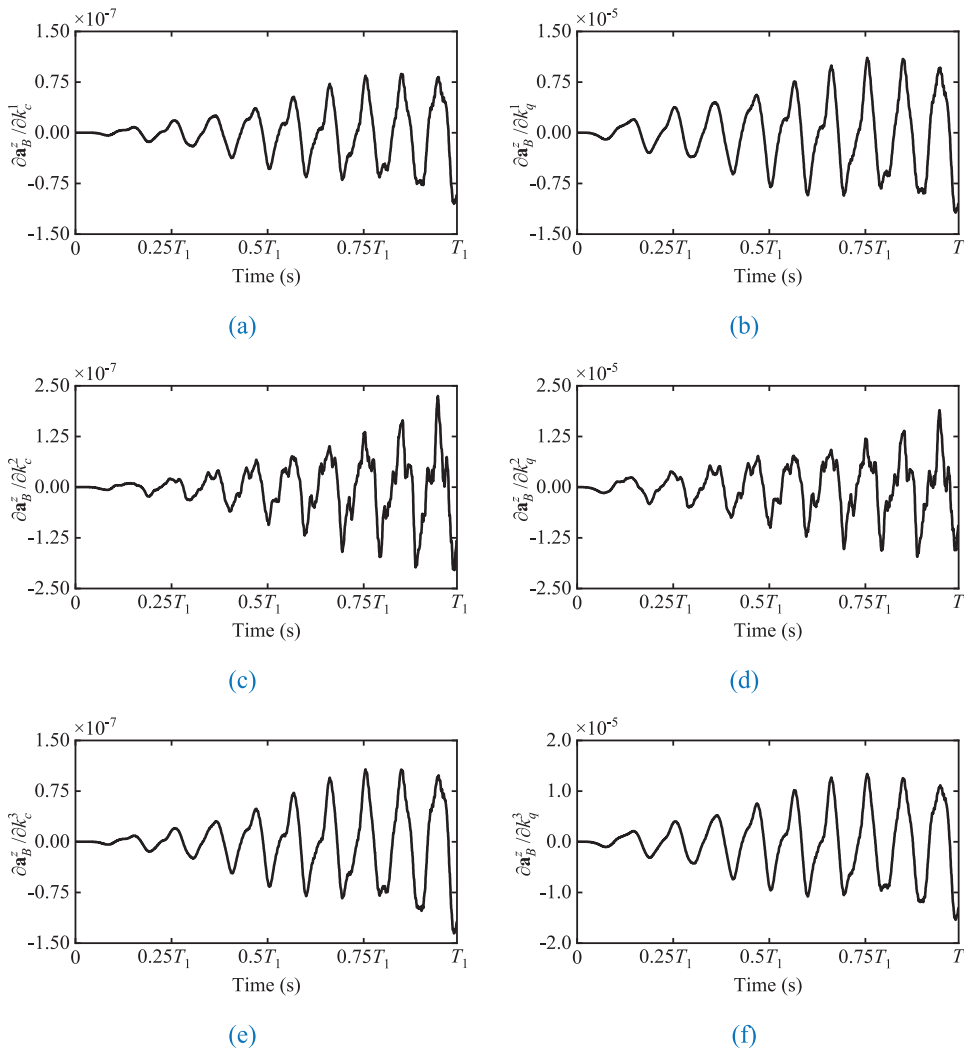


**Fig. 9.** A cantilever plate with multiple nonlinear supports.

and  $e_{max}$  is 4.6% in the set of  $\gamma=1/6$  for  $k_{nl3}^r$ . With a small relative error of initial responses, the updating results of parameters are becoming stable when the control variable  $\gamma$  is larger than 2/3. Results show that the accurate nonlinear FE model can be determined based on the proposed method after a small amount number of iterations.

3.3. Cantilever plate with multiple nonlinear supports

In order to show the applicability of the present method, a cantilever plate with multiple nonlinear supports is shown in Fig. 9. The linear component of the structure was proposed by Kim [55], and the elastic supports with combined cubic and quadratic nonlinear stiffness effect located at points A, B, and C were considered in this case. The nonlinear force for each support is calculated using equation:  $f_{nl}=k_c x^3+k_q x^2$ , and six parameters are selected to be updated. The material and geometric



**Fig. 10.** Dynamic sensitivity of the z-acceleration of point B with respect to updating cubic and quadratic parameters: (a)  $\partial a_B^z / \partial k_c^1$ , (b)  $\partial a_B^z / \partial k_q^1$ , (c)  $\partial a_B^z / \partial k_c^2$ , (d)  $\partial a_B^z / \partial k_q^2$ , (e)  $\partial a_B^z / \partial k_c^3$ , (f)  $\partial a_B^z / \partial k_q^3$ .

**Table 9**  
Exact and initial values of the cubic and quadratic parameters.

Parameters	Exact	Initial
$k_c^1$ (lbf/in <sup>3</sup> )	$1 \times 10^5$	$1.2 \times 10^5$
$k_q^1$ (lbf/in <sup>2</sup> )	$5 \times 10^4$	$5.4 \times 10^4$
$k_c^2$ (lbf/in <sup>3</sup> )	$1 \times 10^5$	$0.7 \times 10^5$
$k_q^2$ (lbf/in <sup>2</sup> )	$5 \times 10^4$	$4.7 \times 10^4$
$k_c^3$ (lbf/in <sup>3</sup> )	$1 \times 10^5$	$0.8 \times 10^5$
$k_q^3$ (lbf/in <sup>2</sup> )	$5 \times 10^4$	$5.2 \times 10^4$

properties are given in Fig. 9, and the structure is modeled by 12×6 plate FE elements. Three displacement components, i.e., a deflection ( $w_z$ ) and two in-plane rotations ( $\theta_x$  and  $\theta_y$ ), are considered in the plate FE element, and the total DOFs of the structure is 252. The pre-given exact and initial values of the updating parameters are listed in Table 9.

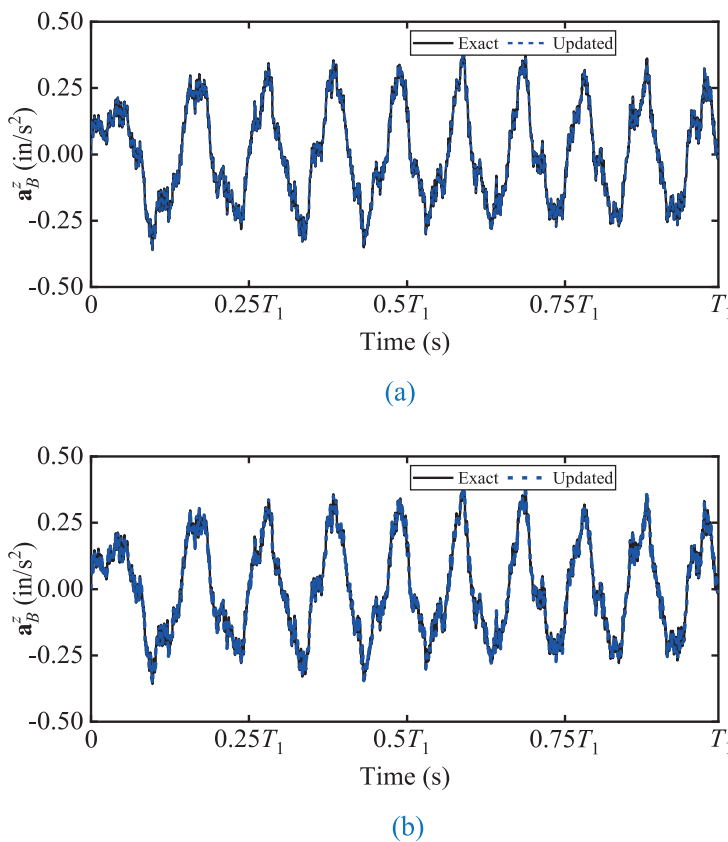
The forced vibration under external force,  $f_B^z(t) = 10 \sin(\omega_1 t)$ , is considered in this case, and the initial displacements and velocities are set to zero.  $\omega_1$  is the first natural frequency of the linear components of the structure,  $\omega_1 = 0.37$ Hz. The time interval is  $[0, T_1]$ , and the corresponding time step is  $\Delta t = T_1 / N_T$ , where  $T_1$  and  $N_T$  are  $T_1 = 1/\omega_1$ , and  $N_T = 3000$ , respectively. The z-direction accelerations with the different noise levels,  $N_f = 0$ , and  $N_f = 2\%$ , at points A, B, and C, are selected to update these six parameters. Using the direct sensitivity analysis approach, the nonlinear dynamic responses and corresponding sensitivities can be

**Table 10**  
The relative ratio and errors for the updated parameters with different measurement noise levels.

Parameters	$N_f = 0$		$N_f = 2\%$	
	r	e (%)	r	e (%)
$k_c^1$	1.010	1.0	0.989	1.1
$k_q^1$	1.000	0	1.000	0
$k_{c,ug}^1$	0.987	1.3	0.945	5.5
$k_q^2$	1.000	0	1.001	0.1
$k_{c,ug}^2$	1.010	1.0	0.982	1.8
$k_q^3$	1.000	0	1.000	0

calculated synchronously. The dynamic sensitivity of the acceleration at point B with respect to six updating parameters are shown in Fig. 10. The magnitudes of dynamic sensitivity of the acceleration with respect to quadratic parameters are larger than that of the acceleration with respect to cubic parameters. The accuracy of the updated cubic parameters has been affected by this situation. The initial error of the selected accelerations with full data points is 10.83%, and these updating parameters are also of the local nonlinear parameters. The selection control variable, therefore, is set to  $\gamma = 1$  to ensure the accuracy of the nonlinear FE model updating.

Table 10 illustrate the relative ratio and error of the updated parameters compared to the exact values. These errors for noise-free and 2% simulated noise cases are less than 6%, indicating that a good agreement



**Fig. 11.** Updated acceleration  $\vec{a}_B^z$  compared to the exact responses with different measurement noise levels: (a)  $N_l=0$ , (b)  $N_l=2\%$ .

between the updated and exact parameters can be obtained using the proposed method. The maximum error is 5.5% for  $k_c^2$  ( $N_l=2\%$ ) among all the parameter updating results, illustrating that the inaccuracy of updated nonlinear parameters is increased with an increase in the noise level, and the insensitive parameters are more affected by the measurement noise. The acceleration with different noise levels calculated from the updated nonlinear FE model and the exact responses are compared and shown in Fig. 11(a) and (b), respectively. Results show that the proposed dynamic sensitivity-based nonlinear FE model updating method is applicable for updating a complex structure modelled using commercial FE software, even under a low noise level effect.

#### 4. Conclusion

A dynamic sensitivity-based model updating approach for nonlinear structures is proposed in this paper. The updating method is carried out using the time-domain responses. The effects of the nonlinear structural parameters on the time-domain responses are evaluated based upon the dynamic sensitivity analysis, which is directly and simultaneously calculated from the differentiation of the first-order or second-order equations of motion.

The accuracy and advantage of the proposed method are verified using different numerical models. The effect of the noise in the time-domain responses and the selection scheme of the targeted responses points are investigated. Based on the simulation results, the following conclusions are obtained. (1) The time-domain responses can be adopted for nonlinear FE model updating even the observations are with noise. (2) The scheme for selecting the response points guarantees the accuracy of the updated nonlinear FE model when considering different relative errors of the initial responses. (3) A small amount of iterations of the updating process are the advantage of the proposed method.

The proposed nonlinear FE model updating approach is applicable to the structure whose dynamic sensitivity is calculated by direct differen-

tiation. The proposed approach, however, does not account for the non-smooth nonlinearity and the actual measurement noise. Experimental verification on the proposed approach for a complex structure considering gap and contact is worthy of further study.

#### Author statement

The authors are appreciative of the constructive comments and suggestions provided by the reviewers.

#### Declaration of Competing Interest

The authors declare that they have no known competing financial interests or personal relationships that could have appeared to influence the work reported in this paper.

#### CRediT authorship contribution statement

**Zhifu Cao:** Conceptualization, Methodology, Software, Validation, Formal analysis, Investigation, Data curation, Writing - original draft, Writing - review & editing, Visualization. **Qingguo Fei:** Resources, Writing - review & editing, Project administration, Supervision, Funding acquisition. **Dong Jiang:** Investigation, Validation, Writing - review & editing. **Dahai Zhang:** Writing - review & editing. **Hui Jin:** Writing - review & editing. **Rui Zhu:** Writing - review & editing.

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Table A1

Pseudo-code for nonlinear dynamic response and sensitivity analysis.

**Pseudo-code 1** Nonlinear dynamic response and sensitivity**Input:** Initial conditions:  $\mathbf{x}_0, \dot{\mathbf{x}}_0, \mathbf{S}, \dot{\mathbf{S}}, \ddot{\mathbf{S}}_0$ ; Structural matrices:  $\mathbf{M}, \mathbf{C}, \mathbf{K}$ ; External excitation:  $\mathbf{f}(t)$ ; Nonlinear elements parameters:  $\theta$ ; Newmark scheme parameter:  $t_f, N_T, \beta, \alpha$ **Output:** Calculated nonlinear responses:  $\mathbf{x}, \dot{\mathbf{x}}, \ddot{\mathbf{x}}$ ; Dynamic sensitivities:  $\mathbf{S}, \dot{\mathbf{S}}, \ddot{\mathbf{S}}$ **Begin**

```

1:  $\mathbf{f}_{nl0} = \mathbf{f}_{nl}(\mathbf{x}_0, \dot{\mathbf{x}}_0, \theta)$  // Initial nonlinear force
2:  $\ddot{\mathbf{x}}_0 = \mathbf{M}^{-1}(\mathbf{f}_0 - \mathbf{C}\dot{\mathbf{x}}_0 - \mathbf{K}\mathbf{x}_0 - \mathbf{f}_{nl0})$  // Initial acceleration
3:  $\Delta t = t_f / N_T$  // Time-step
4: for time=1 to  $t_f$  // Final time
5:  $t_{i+1} = t_i + \Delta t$  // Computation time
6:  $\dot{\mathbf{x}}_{i+1} := \dot{\mathbf{x}}_i + (1-\alpha)\Delta t \ddot{\mathbf{x}}_i$ ;  $\mathbf{x}_{i+1} := \mathbf{x}_i + \Delta t \dot{\mathbf{x}}_i + (1/2-\beta)\Delta t^2 \ddot{\mathbf{x}}_i$ ;  $\ddot{\mathbf{x}}_{i+1} := \mathbf{0}$ ; // Prediction
7:  $\mathbf{f}_{nl,i+1} := \mathbf{f}_{nl}(\mathbf{x}_{i+1}, \dot{\mathbf{x}}_{i+1}, \theta)$ ;  $\mathbf{K}_T$  // Predicted nonlinear force and tangent stiffness matrix
8:  $e^R := \|\mathbf{M}\ddot{\mathbf{x}}_{i+1} + \mathbf{C}\dot{\mathbf{x}}_{i+1} + \mathbf{K}\mathbf{x}_{i+1} + \mathbf{f}_{nl,i+1} - \mathbf{f}_{i+1}\| / \|\mathbf{M}\ddot{\mathbf{x}}_{i+1} + \mathbf{C}\dot{\mathbf{x}}_{i+1} + \mathbf{K}\mathbf{x}_{i+1} + \mathbf{f}_{nl,i+1}\|$  // Relative error of prediction responses
9: if  $e^R > \varepsilon$  then
10:  $\mathbf{K}_e^R := \frac{1}{\alpha\Delta t^2}\mathbf{M} + \frac{\beta}{\alpha\Delta t}\mathbf{C} + \mathbf{K} + \mathbf{K}_T$  // Equivalent stiffness matrix for nonlinear dynamic response calculation
11:  $\mathbf{F}_e^R := \mathbf{M}\ddot{\mathbf{x}}_{i+1} + \mathbf{C}\dot{\mathbf{x}}_{i+1} + \mathbf{K}\mathbf{x}_{i+1} + \mathbf{f}_{nl,i+1} - \mathbf{f}_{i+1}$  // Equivalent residual vector for nonlinear dynamic response calculation
12:  $\Delta\mathbf{x} := -(\mathbf{K}_e^R)^{-1}\mathbf{F}_e^R$  // Increment displacement calculation
13:  $\mathbf{x}_{i+1} := \mathbf{x}_{i+1} + \Delta\mathbf{x}$ ;  $\dot{\mathbf{x}}_{i+1} := \dot{\mathbf{x}}_{i+1} + \alpha/(\beta\Delta t)\Delta\mathbf{x}$ ;  $\ddot{\mathbf{x}}_{i+1} := \ddot{\mathbf{x}}_{i+1} + 1/(\beta\Delta t^2)\Delta\mathbf{x}$ ; // Correction
14:  $\mathbf{f}_{nl,i+1} := \mathbf{f}_{nl}(\mathbf{x}_{i+1}, \dot{\mathbf{x}}_{i+1}, \theta)$ ;  $\mathbf{K}_T$  // Updated nonlinear force and tangent stiffness matrix
15:  $e^R := \|\mathbf{M}\ddot{\mathbf{x}}_{i+1} + \mathbf{C}\dot{\mathbf{x}}_{i+1} + \mathbf{K}\mathbf{x}_{i+1} + \mathbf{f}_{nl,i+1} - \mathbf{f}_{i+1}\| / \|\mathbf{M}\ddot{\mathbf{x}}_{i+1} + \mathbf{C}\dot{\mathbf{x}}_{i+1} + \mathbf{K}\mathbf{x}_{i+1} + \mathbf{f}_{nl,i+1}\|$  // Relative error of updated responses
16: end if
17:  $\mathbf{J}_{\mathbf{x},i+1}, \mathbf{J}_{\dot{\mathbf{x}},i+1}$  // Jacobian matrices of nonlinear force with respect to displacement and velocity
18:  $\frac{\partial \mathbf{f}_{nl}(\mathbf{x}_{i+1}, \dot{\mathbf{x}}_{i+1}, \theta)}{\partial \theta}$  // Derivative of nonlinear force with respect to updating parameters
19:  $\mathbf{K}_e^S := \frac{\partial \theta}{\partial(\Delta t)^2}\mathbf{M} + \frac{\alpha}{\beta\Delta t}(\mathbf{C} + \mathbf{J}_{\dot{\mathbf{x}},i+1}) + (\mathbf{K} + \mathbf{J}_{\mathbf{x},i+1})$  // Equivalent stiffness matrix for dynamic sensitivity calculation
 $\mathbf{M}(-\frac{1}{\beta\Delta t^2}\dot{\mathbf{S}}_i - \frac{1}{\beta\Delta t}\ddot{\mathbf{S}}_i + (1 - \frac{\alpha}{2\beta})\ddot{\mathbf{S}}_i)$ 
20:  $\mathbf{F}_e^S := (\mathbf{C} + \mathbf{J}_{\dot{\mathbf{x}},i+1})(-\frac{\alpha}{\beta\Delta t}\dot{\mathbf{S}}_i + (1 - \frac{\alpha}{\beta})\ddot{\mathbf{S}}_i + \Delta t(1 - \frac{\alpha}{2\beta})\ddot{\mathbf{S}}_i)$  // Equivalent residual vector for dynamic sensitivity calculation
 $+\frac{\partial \mathbf{f}_{nl}(\mathbf{x}_{i+1}, \dot{\mathbf{x}}_{i+1})}{\partial \theta}$ 
21:  $\dot{\mathbf{S}}_{i+1} := -(\mathbf{K}_e^S)^{-1}\mathbf{F}_e^S$  // Displacement sensitivity
22:  $\ddot{\mathbf{S}}_{i+1} := \frac{\alpha}{\beta\Delta t}(\dot{\mathbf{S}}_{i+1} - \dot{\mathbf{S}}_i) + (1 - \frac{\alpha}{\beta})\ddot{\mathbf{S}}_i + \Delta t(1 - \frac{\alpha}{2\beta})\ddot{\mathbf{S}}_i$  // Velocity sensitivity
23:  $\ddot{\mathbf{S}}_{i+1} := \frac{1}{\beta\Delta t^2}(\dot{\mathbf{S}}_{i+1} - \dot{\mathbf{S}}_i) - \frac{1}{\beta\Delta t}\ddot{\mathbf{S}}_i - (\frac{1}{2\beta} - 1)\ddot{\mathbf{S}}_i$  // Acceleration sensitivity
24: end for
25: end

```

Table A2

Pseudo-code for nonlinear FE model updating based on direct sensitivity analysis.

**Pseudo-code 2** Direct sensitivity-based nonlinear FE model updating**Input:** Measured responses:  $\mathbf{R}^m$ ; Initial parameters:  $\theta_0$ ; Selection control variable:  $\gamma$ ; Maximum number of iterations:  $N$ ; Nonlinear dynamic responses and sensitivity analysis parameters:  $\mathbf{x}_0, \dot{\mathbf{x}}_0, t_f, N_T, \mathbf{K}, \mathbf{M}, \mathbf{C}, \mathbf{f}(t), \mathbf{f}_{nl}$ **Output:** Updated parameters:  $\theta^{updated}$ ; Updated responses:  $\mathbf{R}^{updated}$ **Begin**

```

1:  $r_p := 1$  to  $\gamma N_T$  // Selected responses points
2: for iteration=1 to  $N$  // Maximum number of iterations
3:  $n+1 := n$ 
4:  $\mathbf{R}_n, \mathbf{S}_n$  // Calculated nonlinear dynamic responses and sensitivities using  $\mathbf{x}_0, \dot{\mathbf{x}}_0, t_f, N_T, \mathbf{K}, \mathbf{M}, \mathbf{C}, \mathbf{f}(t), \mathbf{f}_{nl}$  in Pseudo-code 1
5:  $\mathbf{r}_n := \mathbf{R}^m - \mathbf{R}_n$  // Response residual
6:  $\Delta\theta \leftarrow \min J_{non}(\theta) = \frac{1}{2} \sum_{q=1}^{N_e} \sum_{k=1}^{N_t} \|W_{z(t,\theta)}(R_q^m(t_k) - R_q(t_k, \theta))\|_2^2$  // Updating parameters estimation using the optimization method in MATLAB
7:  $\theta_{n+1} = \theta_n + \Delta\theta$  // Updated parameters
8:  $e_r := \frac{\|\mathbf{R}^m - \mathbf{R}_n\|_2}{\|\mathbf{R}^m\|_2} \times 100\%$  // Responses error
9:  $e_\theta := \frac{\|\Delta\theta\|_2}{\|\theta_n\|_2} \times 100\%$  // Parameter estimation error
10: if  $e_r$  or  $e_\theta < tolerance$  then
11: Return
12: else
13: Continue
14: end if
15: end for
16: end

```

**Appendix A. Pseudo-code for direct sensitivity-based nonlinear FE model updating**

Tables A1 and A2.

$$\zeta = \begin{Bmatrix} x \\ y \\ \frac{\partial x}{\partial \theta} \\ \frac{\partial y}{\partial \theta} \end{Bmatrix} \quad (B1)$$

**Appendix B. Dynamic sensitivity analysis of Duffing-Van der Pol oscillator**

The first-order form of the Duffing-Van der Pol oscillator is given in Eq. (26). The new unknowns are given as follows

where

$$\begin{cases} \frac{\partial x}{\partial \theta} = \begin{bmatrix} \frac{\partial x}{\partial \mu} & \frac{\partial x}{\partial \alpha} & \frac{\partial x}{\partial \beta} \end{bmatrix}^T = [s_{11} \quad s_{12} \quad s_{13}]^T \\ \frac{\partial y}{\partial \theta} = \begin{bmatrix} \frac{\partial y}{\partial \mu} & \frac{\partial y}{\partial \alpha} & \frac{\partial y}{\partial \beta} \end{bmatrix}^T = [s_{21} \quad s_{22} \quad s_{23}]^T \end{cases} \quad (B2)$$

in which the updating parameters herein are  $\theta = [\mu, \alpha, \beta]^T$ . Finally, the first-order equations of motion and sensitivity have the form

$$\dot{\zeta} = \begin{cases} \dot{x} \\ \dot{y} \\ \dot{s}_{11} \\ \dot{s}_{12} \\ \dot{s}_{13} \\ \dot{s}_{21} \\ \dot{s}_{22} \\ \dot{s}_{23} \end{cases} = \begin{cases} y \\ \mu(1-x^2)y - \alpha x - \beta x^3 + f \cos(\omega t) \\ s_{21} \\ s_{22} \\ s_{23} \\ (1-x^2)y - 2\mu x y s_{11} + \mu(1-x^2)s_{21} - \alpha s_{11} - 3\beta x^2 s_{11} \\ -2\mu x y s_{12} + \mu(1-x^2)s_{22} - x - \alpha s_{12} - 3\beta x^2 s_{12} \\ -2\mu x y s_{13} + \mu(1-x^2)s_{23} - \alpha s_{13} - x^3 - 3\beta x^2 s_{13} \end{cases} \quad (B3)$$

The initial conditions are given in advance, so the initial sensitivities of displacement and velocity are zero. The dynamic and sensitivity equations (B3) can be solved using Runge-Kutta method, which is integrated into the 'ode45' function of MATLAB.

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